

# Global Equity SRI

Socially Responsible Investing (SRI)

September 30, 2021

## Approach

Socially responsible investing means different things to different people. Our approach is to create alignment between the client's beliefs and their investment portfolio by developing a thorough understanding of the values that are most important to each client. Companies are selected based on the client's social criteria, as established through a detailed questionnaire completed by the client and advisor.

## Benchmark

Blended S&P 1500 & S&P ADR (Cap Weighted)

## Objective

Our team takes into consideration the investor's SRI/ESG preferences to construct a portfolio consistent with the investor's values. The Advisor Partners Global Equity SRI Composite seeks to match the risk and return characteristics of a market capitalization weighted blend of the S&P Composite 1500® Index and the S&P Composite ADR® Index with socially responsible investing restrictions. Individual client portfolios are customizable and may be restricted from investing in various stocks such as weapons stocks, tobacco manufacturers, and companies with significant involvement with nuclear power or fossil fuels, etc.

## Firm Assets

Total Firm: \$1,789,876,982

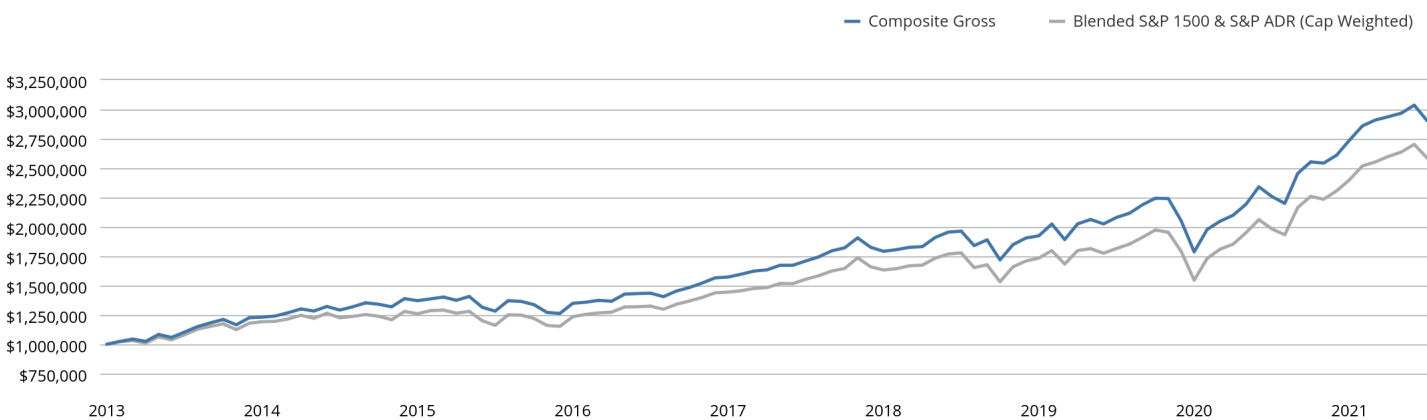
## Inception Date

04/01/2013

## Composite Performance

Performance as of: 9/30/2021	Annualized						
	QTD	YTD	1yr	3yr	5yr	10yr	Since Composite Inception
Gross Return	-1.20%	13.71%	28.54%	13.91%	15.12%	--	13.35%
Net Return	-1.32%	13.29%	27.92%	13.35%	14.56%	--	12.79%
Blended S&P 1500 & S&P ADR (Cap Weighted)	-0.57%	14.41%	30.39%	13.26%	14.30%	--	11.81%

## Hypothetical Growth of \$1 Million Account



## Portfolio Management and Research Team

### Rahul Agrawal, President, CIO

M.Eng. in Electrical Engineering and Computer Science  
Massachusetts Institute of Technology

B.S. in Electrical Engineering  
Massachusetts Institute of Technology

### Jennifer Xu, Senior Portfolio Manager

M.A. in Statistics  
Columbia University

B.A. in Economics and Actuarial Science  
University of Illinois, Urbana-Champaign

### Elena Gorskaya, CFA, Senior Research Analyst

Master in Financial Engineering  
University of California, Berkeley

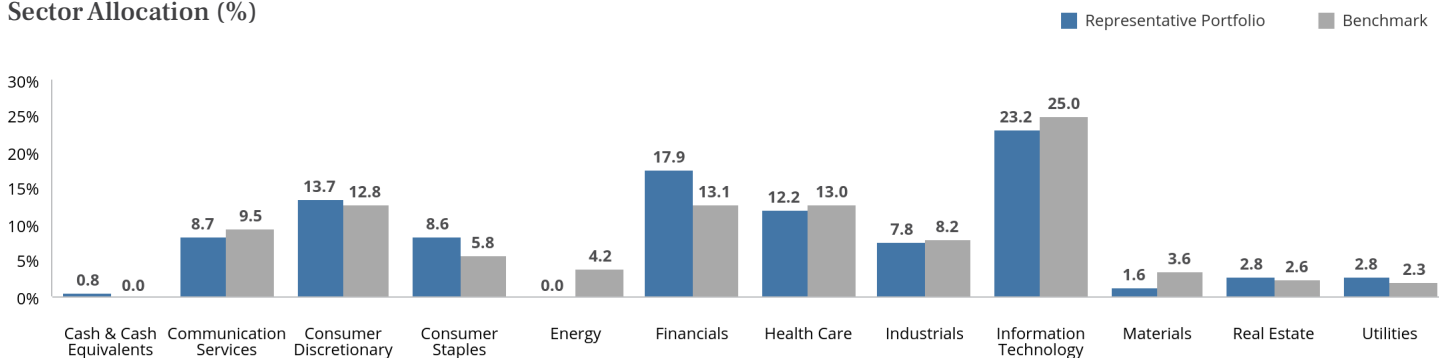
B.A. in Mathematics  
Moscow State University

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## Sector Allocation (%)



## Portfolio Characteristics

Characteristic	Representative Portfolio	Benchmark
Dividend Yield	1.70	1.50
Market Capitalization (Millions)	382,486.70	468,828.60
Price/Earnings	20.00	22.10
Total Number of Securities	204	1,654
Tracking Error	1.63%	--

## Top 10 Holdings (%)

Company	Representative Portfolio
Apple Inc.	5.18%
Amazon.com, Inc.	3.71%
Alphabet Inc.	2.72%
Facebook, Inc.	1.57%
Visa Inc.	1.41%
Tesla, Inc.	1.40%
Baxter International Inc.	1.33%
NVIDIA Corporation	1.31%
Canadian Imperial Bank of Commerce	1.17%
Unilever PLC	1.16%
<b>Total</b>	<b>20.98%</b>

## Important Disclosures

Advisor Partners, LLC ("AP") is an independent investment adviser registered with the U.S. Securities and Exchange Commission ("SEC") under the Investment Advisers Act of 1940. Registration does not imply a certain level of skill or training. Specific information about AP is described in Part 2A of Form ADV, which is available on the SEC's website at <https://adviserinfo.sec.gov>. AP manages separately managed accounts invested in domestic and global tax loss harvesting strategies, factor based and socially responsible investing strategies.

AP claims compliance with the Global Investment Performance Standards (GIPS®). The data shown in this presentation are for illustrative purposes only. Nothing in this presentation should be construed as tax or legal advice. Past performance is not necessarily indicative of future results. Any investment is subject to risk. AP does not make any representation that any client portfolio will or is likely to achieve returns similar to those shown in the performance results in this presentation. Under no circumstances does the information contained within represent a recommendation to buy or sell securities.

Portfolio Characteristics, Top 10 Holdings, and Sector Allocation are based on a representative account. Holdings in actual client portfolios may differ, sometimes significantly, from those shown. The basis upon which the representative portfolio was selected is that the portfolio's market value is approximately equal to the median market value across our client portfolios. Benchmark characteristics were obtained from FactSet and pertain to a market cap-weighted blend of the S&P 1500 and the S&P ADR indexes and are not representative of actual client portfolios.

Performance data presented was obtained from the Advisor Partners Global Equity SRI Composite which includes all discretionary portfolios benchmarked to a custom blend of the S&P 1500 Index and the S&P ADR Index, with socially responsible investing restrictions.

Benchmark returns are taken from published sources believed to be reliable, but no representation or warranty is made as to accuracy or completeness. Index returns reflect the reinvestment of income dividends and capital gains, if any, but do not reflect fees, brokerage commissions or other expenses of investing.

Investors may not make direct investments into any index. The Composite performance is not directly correlated to the indices mentioned herein. Reference to these indices does not imply or suggest that any portfolio will achieve returns, experience volatility or have other results similar to the indices.

The S&P Composite 1500 Index combines three leading indices, the S&P 500, the S&P MidCap 400, and the S&P SmallCap 600 to cover approximately 90% of the U.S. market capitalization. The goal of the S&P 1500 is to represent a broad cross-section of the general market.

The S&P ADR Index is constructed from the non-U.S. components of the S&P Global 1200. These include the S&P Europe 350, S&P/TOPIX 150 (Japan), S&P/TSX 60 (Canada), S&P/ASX All Australian 50, S&P Asia 50, and S&P Latin America 40. All Level II and Level III ADRs or ordinary share listings in the U.S. issued by the constituents of these indices are included. The Blended S&P 1500 & S&P ADR (Cap Weighted) is a market cap-weighted combination of S&P Composite 1500 Index and S&P ADR Index, calculated by Factset by weighting the respective index returns on a daily basis.

Performance results reflect the reinvestment and dividends and other earnings and are presented in U.S. dollars after all trading commissions have been deducted. The "gross-of-fee" performance results do not reflect the deduction of advisory fees. Such fees are typically deducted from an account on a quarterly basis and reduce the performance of the account. "Net-of-fee" performance is calculated by reducing gross returns by the maximum annual Advisor Partners management fee charged on accounts within this strategy (0.50% annual) applied quarterly. Net returns do not take into consideration other manager or advisor fees, which may further reduce the overall performance of the account.

The chart depicting the Growth of \$1 Million. The results are hypothetical, provided for illustrative purposes only, do not represent actual performance of any specific client portfolio or account, and should not be interpreted as an indication of such performance. The annual Advisory Fee deducted from the gross performance results was 0.50%, deducted quarterly. Back tested and hypothetical performance has certain limitations. Hypothetical, back tested results are achieved by means of retroactive application of a model designed using historical information and include the benefit of hindsight. Unlike an actual performance record, simulated results do not represent actual trading. No representation is being made that any account will or is likely to achieve profit or losses similar to those shown. There can be sharp differences between hypothetical performance results and the actual results subsequently achieved due to factors such as timing of investments, reaction to market conditions, cash movement and client restrictions. Hypothetical results do not involve actual financial risk and do not take into account that material economic and market factors could have impacted the adviser's decision-making if the adviser was actually managing the client's money. Performance does not reflect the adviser's decision-making process if the adviser was actually managing a client's portfolio, which may include sentiment and/or emotional influences and/or economic events. For these and other reasons, clients may have experienced investment results during the corresponding time periods that were materially different from any hypothetical results portrayed. Hypothetical performance results have been back tested and prepared with the use of past performance and past performance is no guarantee of future results.

A prospective investor should refer to AP's disclosure and account opening documents for more information regarding the fees and charges that apply to an account. To request a list of composite descriptions, a GIPS Composite Report that complies with the GIPS standards, or Advisor Partners' Form ADV Part 2 please contact [info@advisorpartners.com](mailto:info@advisorpartners.com)

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